

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re application of:** Sai Kiang Lim  
**Application No.** 10/618,540  
**Filed:** July 9, 2003  
**Confirmation No.** 8220  
**For:** HEMANGIOBLAST PROGENITOR  
CELLS  
**Examiner:** Lora Elizabeth Barnhart  
**Art Unit:** 1651  
**Attorney Reference No.** 4810-66314-01

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: MAIL STOP AMENDMENT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on the date shown below.

Attorney or Agent  
for Applicant(s)

Date Mailed October 6, 2006

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TRANSMITTAL LETTER

Enclosed for filing in the application referenced above are the following:

- Supplemental Information Disclosure Statement
  - Form 1449
  - Reference
- A check in the amount of \$180.00 to cover the above-listed fees
- The Director is hereby authorized to charge any additional fees that may be required, or credit over-payment, to Deposit Account No. 02-4550. A copy of this sheet is enclosed.
- Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

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By

Gwynedd Warren  
Registration No. 45,200



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PATENT

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO**  
**37 C.F.R. § 1.97(c)**

Listed on the accompanying form PTO-1449 are two references, one of which is submitted herewith. Applicant respectfully requests that these documents be listed as references cited on the issued patent. This Information Disclosure Statement ("IDS") is being mailed before Applicant received a final action, a notice of allowance, or an action that otherwise closes prosecution in the referenced application.

Copies of United States patents and United States published patent applications do not have to be provided to the Patent Office (37 C.F.R. 1.98(a)(2)(ii)). Copies of unpublished U.S. applications do not have to be provided, as long as the application is available on PAIR, as this requirement of 37 C.F.R. § 1.98(a)(2)(iii) has been waived by the United States Patent and Trademark Office pursuant to the Official Gazette Notice on October 19, 2004 (1287 OG 163).

Applicant will provide copies of such patents or applications upon request.

Submitted herewith is a check for \$180.00 as required by 37 C.F.R. § 1.17(p) for filing this IDS in compliance with 1.97(c).

Please charge any additional fees which may be required in connection with filing this IDS, or credit any overpayment, to Deposit Account No. 02-4550. Deposit Account authority is provided on the accompanying transmittal letter.

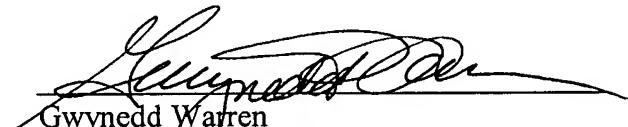
The filing of this IDS shall not be construed to be an admission that the information cited in the statement is, or is considered to be, prior art or otherwise material to patentability as defined in 37 C.F.R. §1.56.

Respectfully submitted,

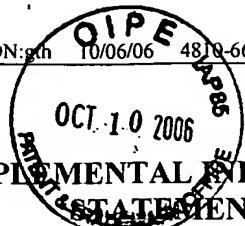
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**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

|                        |                         |
|------------------------|-------------------------|
| Attorney Docket Number | 4810-66314-01           |
| Application Number     | 10/618,540              |
| Filing Date            | July 9, 2003            |
| First Named Inventor   | Lim                     |
| Art Unit               | 1651                    |
| Examiner Name          | Lora Elizabeth Barnhart |

**U.S. PATENT DOCUMENTS**

Copies of U.S. Patent documents do not need to be provided, unless requested by the Patent and Trademark Office. For patents, provide the patent number and the issue date. For published U.S. applications, provide the publication number and the publication date. For unpublished pending patent applications, provide the application number and the filing date.

| Examiner's Initials* | Cite No. (optional) | Number   | Publication Date | Name of Applicant or Patentee |
|----------------------|---------------------|--|------------------|-------------------------------|
|                      |                     | US-2006-0165666-A1   | July 27, 2006    | Sai K. Lim                    |
| Examiner's Initials* | Cite No. (optional) | <b>OTHER DOCUMENTS</b>   |                  |                               |
|                      |                     | LACAUD <i>et al.</i> , "Regulation of Hemangioblast Development," <i>Annals New York Academy of Sciences</i> , 938:96-108, 2001. |                  |                               |

|                        |                     |
|------------------------|---------------------|
| EXAMINER<br>SIGNATURE: | DATE<br>CONSIDERED: |
|------------------------|---------------------|

\* Examiner: Initial if reference considered, whether or not in conformance with MPEP 609. Draw line through cite if not in conformance and not considered. Include copy of this form with next communication to applicant.